

Basel, 07.06.2018

B 2100A (Form 2100A) (12/15)

**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case Nos. 08-13555 (JMP)  
(Jointly Administered)

FILED / RECEIVED

JUN 13 2018

EPIQ

**NOTICE OF PARTIAL TRANSFER OF CLAIM OTHER THAN FOR  
SECURITY, PURSUANT TO FED. R. BANKR. P. 3001(e)(2)**

A CLAIM HAS BEEN FILED IN THIS CASE, by Bank Hapoalim (Switzerland) Ltd., Zurich, Switzerland (the "Transferor"), against Lehman Brothers Holdings Inc., which has been designated as Claim No. 55855 (the "Claim"). Transferee (as that term is defined below) hereby gives evidence and notice, pursuant to Fed. R. Bankr. P. Rule 3001(e)(2), of the transfer, other than for security, of a ratable portion of the Claim, in the nominal amount of (totaled) USD 350,000 (the "Transferred Portion"). For the avoidance of doubt, the Transferred Portion relates to ISINs XS0207502781 (and a nominal amount of USD 150'000), XS0333420395 (and a nominal amount of USD 100'000) and XS0339538448 (and a nominal amount of USD 100'000) only. Transferor is retaining the remaining portion of the Claim. A copy of the Evidence of Transfer of Claim (the "Evidence of Transfer") is attached hereto as Exhibit "A" and is incorporated by reference.

Name of Transferee

Bank J. Safra Sarasin Ltd

Name and Address where notices to Transferee  
should be sent:

Bär & Karrer AG  
Brandschenkestrasse 90  
CH-8027 Zurich  
Switzerland  
Attn: Peter Hsu  
Tel.: +41 58 261 50 00

**- with a copy to -**

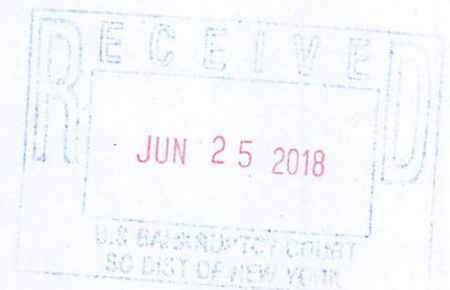
Bank J. Safra Sarasin Ltd  
Elisabethenstrasse 62,  
P.O. Box  
CH-4002 Basel  
Switzerland  
Attn: Frank Link, Senior Legal Counsel, Legal  
Supervision & Corporate  
Tel.: +41 (0) 58 317 45 83  
Patrick Gribi, Head Legal  
Tel.: +41 (0) 58 317 40 67

Name of Transferor

Bank Hapoalim (Switzerland) Ltd..

Name and Address where notices  
to Transferor should be sent:

Bank Hapoalim (Switzerland) Ltd.  
Stockerstrasse 33  
CH-8027 Zurich  
Attn: Stefan Stotzer  
Tel.: +41 44 283 82 46





Last Four Digits of Acct #: 4002

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Bank J. Safra Sarasin Ltd  
Elisabethenstrasse 62,  
P.O. Box  
CH-4002 Basel  
Switzerland

Attn: Frank Link, Senior Legal Counsel, Legal  
Supervision & Corporate  
Tel.: +41 (0) 58 317 45 83  
Patrick Gribi, Head Legal  
Tel.: +41 (0) 58 317 40 67

Court Claim # (if known): 55855  
Date Claim Filed: October 29, 2009  
Transferred Portion: USD 350,000  
(nominal amount)

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

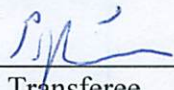
By: 

Date: 07.06.2018

Transferee

Name: Frank Link

Title: Executive Director

By: 

Date: 08.06.2018

Transferee

Name: Patrick Gribi

Title: Managing Director

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years,  
or both. 18 U.S.C §§ 152 & 3571.

**Exhibit A**

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**


For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank Hapoalim (Switzerland) Ltd., Zurich, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **Bank J. Safra Sarasin Ltd., Basel** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **55855**) in the **nominal amount of USD 350'000.00** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
THIS 20 day of December 2017.

**Bank Hapoalim (Switzerland) Ltd.**  
**Stockerstrasse 33**  
**CH-8027 Zurich**  
**Switzerland**

  
Stefan Stotzer  
Deputy Manager

  
Iger Dahinden  
Assistant Manager

JUN 25 2018

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN / Description</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount</b>
XS0207502781 CALLABLE RANGE ACCRUAL NOTE	55855	29 October 2009	Lehman Brothers Treasury Co. BV	USD 150'000
Blocking number	6052924			

<b>ISIN / Description</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount</b>
XS0333420395 USD OIL PARTICIPATION NOTE	55855	29 October 2009	Lehman Brothers Treasury Co. BV	USD 100'000
Blocking number	6053994			

<b>ISIN / Description</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount</b>
XS0339538448 USD SOFT COMMODITIES PARTICIPATION	55855	29 October 2009	Lehman Brothers Treasury Co. BV	USD 100'000
Blocking number	6052953			



**J. SAFRA SARASIN**



Sustainable Swiss Private Banking since 1841

**By courier**

Epiq Bankruptcy Solutions, LLC  
Attn: Lehman Brothers Holdings Claims  
Processing  
757 Third Avenue, 3rd Floor  
New York, NY 10017  
USA

Basel, 01 June 2018

Your contact: Frank Link, T: +41 58 317 45 83

**Transfers of Claims other than for Security, Epiq Claims No. 55855**

Dear Madam, dear Sir,

Bank J. Safra Sarasin Ltd is sending you herewith the enclosed 4 original copies of the completed 210A/2100AB transfer forms, including copies of the evidence of transfer of claim regarding the transfer of claims (referring to partials of claim no. 55855) against Lehman Brothers Holdings Inc. by the following transferor:

Bank Hapoalim (Switzerland) Ltd, Stockerstrasse 33, CH-8027 Zurich, Switzerland

Yours sincerely  
Bank J. Safra Sarasin AG

  
Patrick Gribi  
Managing Director

  
Frank Link  
Executive Director

Enclosures



Page 1 of 1

ORIGIN: BSLA 058317450  
SHIP DATE: 11JUN18  
ACTWGT: 0.50 KG  
CAD: 111332073/INET3980  
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SWITZERLAND CH

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ACTWGT: 0.50 KG  
CAD: 111332073/INET3980

BILL SENDER

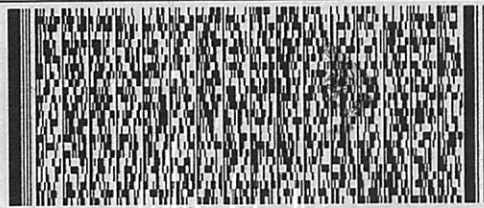
TO ATTN: LEHMAN BROTHERS HOLDINGS  
EPIQ BANKRUPTCY SOLUTIONS, LLC  
CLAIMS PROCESSING  
757 THIRD AVENUE, 3RD FLOOR  
NEW YORK NY 10017

(US)

(000) 000-0000  
INV.  
PO

REF

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JUN 13 2018

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